22.615, MHD Theory of Fusion Systems Prof. Freidberg Lecture 2: Derivation of Ideal MHD Equation

Review of the Derivation of the Moment Equation

- 1. Starting Point: Boltzmann Equation for electrons, ions and Maxwell Equations
- 2. Moments of Boltzmann Equation: conservation of mass, momentum and energy.

$$\int \left[\frac{dF_{\alpha}}{dt} - \left(\frac{\partial F_{\alpha}}{\partial t} \right)_{c} \right] \begin{cases} 1 \\ m_{\alpha} \underline{v} \\ m_{\alpha} v^{2}/2 \end{cases} d\underline{v} \quad momentum \\ energy$$

3. Accounting: $\underline{v} = \underline{u}_{\alpha} \left(e, t \right) + \widetilde{\underline{v}}, \ \underline{u}_{\alpha} = \text{ fluid velocity}, \ \widetilde{\underline{v}} = \text{random velocity}$

$n_{\alpha} = \int F_{\alpha} d\underline{v}$	density
$\underline{u}_{\alpha} = \frac{1}{n_{\alpha}} \int \underline{v} F_{\alpha} d\underline{v} = \left\langle \underline{v} \right\rangle$	fluid velocity
$\ddot{P}_{\alpha} = n_{\alpha}m_{\alpha}\left\langle \underline{\vec{v}}\underline{\vec{v}}\right\rangle$	pressure tensor
$p_{\alpha}=\frac{1}{3}m_{\alpha}n_{\alpha}\left\langle \vec{v}^{2}\right\rangle$	scalar pressure
$h_{\alpha} = \frac{n_{\alpha}m_{\alpha}}{2} \left\langle \widetilde{v}^{2} \underline{\widetilde{v}} \right\rangle$	heat flux
$\underline{R}_{\alpha} = \int m_{\alpha} \underline{\widetilde{v}} C_{\alpha\beta} d \underline{\widetilde{v}}$	friction due to collisions
$Q_{\alpha} = \int \frac{m_{\alpha} \tilde{v}^2}{2} C_{\alpha\beta} d\tilde{v}$	heat generated due to collisions

General 2 Fluid Equations

$$\nabla \times \underline{\mathbf{E}} = -\frac{\partial \mathbf{B}}{\partial t} \quad \nabla \cdot \mathbf{B} = \mathbf{0}$$
$$\nabla \times \underline{\mathbf{B}} = \mu \cdot \underline{\mathbf{J}} + \frac{1}{c^2} \frac{\partial \underline{\mathbf{E}}}{\partial t} \quad \nabla \cdot \underline{\mathbf{E}} = \frac{\sigma}{\epsilon_0}$$
$$\frac{\partial \mathbf{n}_{\alpha}}{\partial t} + \nabla \cdot \left(\mathbf{n}_{\alpha} \underline{\mathbf{u}}_{\alpha}\right) = \mathbf{0}$$

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$$\begin{array}{l} m_{\alpha}n_{\alpha} \, \frac{d\underline{u}_{\alpha}}{dt} = q_{\alpha}n_{\alpha} \left(\underline{E} + \underline{u}_{\alpha} \times \underline{B}\right) - \nabla \cdot \underline{P}_{=\alpha} + \underline{R}_{\alpha} \\ \\ \frac{3}{2}n_{\alpha} \, \frac{dT_{\alpha}}{dt} + \underline{P}_{=\alpha} : \nabla \underline{u}_{\alpha} = Q_{\alpha} - \nabla \cdot \underline{h}_{\alpha} \end{array} \right\} \qquad e, \ i \\ \\ \sigma = e \left(n_{i} - n_{e}\right) \\ \\ \underline{J} = e \left(n_{i} \underline{u}_{i} - n_{e} \underline{u}_{e}\right) \end{array}$$

Physical Assumptions Leading to I deal MHD

- 1. Moment equations as they now stand are exact, but not closed.
- 2. Certain assumptions lead to closure 1 fluid MHD model

Asymptotic Assumptions

- 1. MHD is concerned with low frequency long wavelength macroscopic behavior
- 2. The first simplification of the 2 fluid equations eliminates short wavelength, fast time scale phenomena: well satisfied assumptions experimentally
- 3. Asymptotic assumptions change basic mathematical structure of the time evolution.

speed of light $\rightarrow \infty$

electron inertia $\rightarrow 0$

First Asymptotic Assumption $c \rightarrow \infty$

- 1. Maxwell equations \rightarrow low frequency Maxwell equations
- 2. Formally let $\in_0 \rightarrow 0$

$$\nabla \times \underline{B} = u_0 \underline{J} + \frac{1}{c^2} \frac{\partial \underline{E}}{\partial t} \approx \mu_0 \underline{J} \qquad \text{neglect displacement current}$$

$$n_i - n_e = \frac{\epsilon_0}{e} \nabla \cdot \underline{E} \approx 0$$
 quasineutrality

- 3. Equations are now Gallilean invariant
- 4. Conditions for validity:

$$\omega \ll \omega_{pe} \qquad \lambda_d \equiv \frac{v_{Te}}{\omega_{pe}} \ll a \qquad \qquad \text{no plasma oscillations}$$

$$\frac{\omega}{k} \sim v_{Ti} \ll v_{Te} \ll c \qquad \qquad \text{no high frequency waves}$$

5. Note: $n_e = n_i \equiv n$ does not imply \underline{E} or $\nabla \cdot \underline{E} = 0$. Only that

 $\in_0 \nabla \cdot E/en \ll 1$

Second Asymptotic Assumption $m_e \rightarrow 0$

- 1. The electron response time is essentially instantaneous because $m_e \ll m_i$
- 2. We then neglect electron inertia in the momentum equation

 $0 \approx -en_e \left(\underline{E} + \underline{u}_e \times \underline{B}\right) - \nabla \ddot{P}_e + \underline{R}_e$

3. Conditions for validity

 $\omega \ll \omega_{pe} ~~\lambda_d \ll a ~~ \text{no electron plasma oscillations} \parallel \text{to B}$

 $\omega \ll \omega_{ce}$ r_{ce} $\ll a$ no electrons cyclotron oscillations

4. Both $c \rightarrow \alpha$, $m_e \propto 0$ assumptions are well satisfied for MHD behavior

Subtle Effect

- 1. Neglect of electron inertia along B can be tricky
- 2. For long wavelengths, electrons can still require a finite response time even though m_e is small. This is region of the drift wave
- 3. We shall see that MHD consistently treats || motion poorly, but for MHD behavior, remarkably this does not matter!!
- 4. To treat such behavior more sophisticated models are required. The resulting instabilities are much weaker, (and still important) than for MHD.

The two Fluid Equations with Asymptotic Assumptions

$$\begin{split} \nabla\times\underline{E} &= -\frac{\partial B}{\partial t} \quad \nabla\cdot\underline{B} = 0 & \qquad \qquad \frac{\partial n}{\partial t} + \nabla\cdot n\underline{u}_e = 0 \\ \nabla\times\underline{B} &= \mu_0 en\left(u_i - u_e\right) \quad n_e = n_i = n & \qquad \frac{\partial n}{\partial t} + \nabla\cdot n\underline{u}_i = 0 \end{split}$$

$$m_{i}n\frac{d\underline{u}_{e}}{dt}-en\left(\underline{E}+\underline{u}_{i}\times\underline{B}\right)+\nabla\cdot\overset{\overleftarrow{P}}{\underline{P}_{i}}=\underline{R}_{i}$$

$$\left. \frac{3}{2} n \frac{d T_\alpha}{dt} + \ddot{\underline{P}}_{=\alpha} : \nabla \underline{u}_\alpha + J \cdot \underline{h}_\alpha = Q_\alpha \right. \right\} \ e$$

 $\mathrm{en}\left(\underline{\mathrm{E}}+\underline{\mathrm{u}}_{\mathrm{e}}\times\underline{\mathrm{B}}\right)++\nabla\cdot\ddot{\underline{\mathrm{P}}}_{=\mathrm{e}}=\underline{\mathrm{R}}_{\mathrm{e}}$

Single Fluid Equations

1. Introduce single fluid variable

 $\underline{v} = \underline{u}_i$ the momentum of fluid is carried by ions since $m_i = 0$ $p = p_e + p_i$ total pressure $\rho = m_i n$ mass density $\underline{J} = en(\underline{u}_i - \underline{u}_e)$ current density

- Use all information!!. This is not trivial!! Initially the unknowns are <u>E</u>, <u>B</u>, <u>J</u>, <u>V</u>, n, p (19 variables). The finally unknowns are <u>E</u>, <u>B</u>, <u>J</u>, <u>V</u>, n, p (14 variables)
- 3. Maxwell equations \rightarrow OK as is in low frequency form
- 4. Mass conservation
 - a. $M_i \times ion$

$$\frac{\partial \rho}{\partial t} + \nabla \cdot \rho \underline{v} = 0$$

b. e (ion-electron) $\rightarrow \nabla \cdot en(\underline{u}_i - \underline{u}_e)$

$$= \nabla \cdot \mathbf{J} = \mathbf{0}$$

This is automatic from the low frequency Maxwell equations

 $\nabla \times \underline{B} = \mu_0 \underline{J} \rightarrow \nabla \cdot \underline{J} = 0$

5. Momentum Equation (ion + electron)

a.
$$\rho \frac{d\underline{v}}{dt} - en(\underline{u}_{i} - \underline{u}_{e}) \times B + \nabla \cdot (\underline{\overrightarrow{P}_{i}} + \underline{P}_{e}) = \underline{R}_{i} + \underline{R}_{e}$$
$$\int \frac{d\underline{v}}{dt} - en(\underline{u}_{i} - \underline{u}_{e}) \times B + \nabla \cdot (\underline{\overrightarrow{P}_{i}} + \underline{P}_{e}) = \underline{R}_{i} + \underline{R}_{e}$$
$$\int \frac{d\underline{v}}{dt} \begin{bmatrix} m_{e} \underline{\widetilde{v}} c_{ei} + m_{i} \underline{\widetilde{v}} c_{ie} \end{bmatrix} = 0$$

.

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$$b. \quad \rho \frac{d\underline{v}}{dt} - \underline{J} \times \underline{B} + \nabla p = -\nabla \cdot \left(\underline{\overrightarrow{\underline{\Pi}}}_i + \underline{\overrightarrow{\underline{\Pi}}}_e \right)$$

6. Electron Momentum equation

a.
$$\underline{E} + \underline{u}_{e} \times \underline{B} = \frac{\underline{R}_{e} - \nabla \cdot \underline{P}_{=e}}{en}$$

 $u_{e} = \underline{u}_{i} - \frac{\underline{J}}{en} = \underline{v} - \frac{\underline{J}}{en}$
b. $\underline{E} + \underline{v} \times \underline{B} = \frac{1}{en} \Big[\underline{R}_{e} - \nabla \cdot \underline{P}_{=e} + \underline{J} \times \underline{B} \Big]$

7. Energy Equation (ions)

$$\begin{split} & \stackrel{\tilde{P}}{\underset{i}{i}} : \nabla \underline{u}_{i} \\ & \hat{u}_{i} = Q_{i} - \nabla \cdot \underline{h}_{i} - \overrightarrow{\underline{\Pi}}_{i} : \nabla \underline{u}_{i} \\ & 1 = 2 \\ \\ & 1 = 2 \\ \\ & b. \quad 1: \qquad \frac{3}{2} \frac{dp_{i}}{dt} - \frac{3}{2} \frac{p_{i}}{n} \frac{dn}{dt} \\ \\ & c. \quad 2: \qquad \frac{\partial n}{\partial t} + \nabla \cdot n\underline{v} = 0 = \frac{\partial n}{\partial t} + \underline{v} \cdot \nabla n + n\nabla \cdot \underline{v} \rightarrow \frac{dn}{dt} = -n\nabla \cdot \underline{v} \\ & p_{i}\nabla \cdot \underline{v} = -\frac{p_{i}}{n} \frac{dn}{dt} \\ \\ & d. \quad 1+2: \qquad \frac{3}{2} \frac{dp_{i}}{dt} - \frac{5}{2} \frac{p_{i}}{n} \frac{dn}{dt} = \frac{3}{2} n^{5/3} \frac{d}{dt} \frac{p_{i}}{n^{5/3}} \\ \\ & e. \quad \frac{d}{dt} \frac{p_{i}}{\rho^{r}} = \frac{2}{3\rho^{r}} \Big[Q_{i} - \nabla \cdot \underline{h}_{i} - \overline{\underline{\Pi}}_{i} : \nabla \underline{v} \Big] \qquad r=5/3 \end{split}$$

8. Energy Equation (electrons)

a.
$$\frac{d}{dt}\frac{p_{e}}{\rho^{r}} = \frac{2}{3\rho^{r}} \left[Q_{e} - \nabla \cdot \underline{h}_{e} - \vec{\underline{\Pi}}_{e} : \nabla \underline{v} + \frac{\underline{J}}{en} \cdot \nabla \frac{p_{e}}{\rho^{r}} + \vec{\underline{\Pi}}_{e} : \nabla \frac{d}{en} \right]$$
from
$$\frac{d}{dt_{e}}$$
 from $\vec{\underline{\Pi}}_{e} : \nabla u_{e}$

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b.
$$\frac{d}{dt} = \frac{\partial}{\partial t} + \underline{v} \cdot \nabla$$
 = ion convective derivation

Assumptions Leading to Ideal MHD

- 1. <u>Philosophy</u>: Ideal MHD is concerned with phenomena occurring on certain length and time scales.
- 2. <u>Ordering</u>: Using this, we can order all the terms in the one fluid equations. After ignoring small terms, we obtain ideal MHD.
- 3. <u>Status</u>: At this point <u>only</u> the assumptions $c \rightarrow \infty$, $m_e \rightarrow 0$ have been used in the equation

Characteristic Length and Time Scales for Ideal MHD

1.	$\frac{\partial}{\partial t} \sim \omega \sim \frac{v_{\text{Ti}}}{a}$		
2.	$\frac{\partial}{\partial x} \sim k \sim \frac{1}{a}$	<pre>}</pre>	macroscopic MHD phenomena
3.	$v \sim v_{Ti}$	J	

- 4. a \rightarrow macroscopic length
- 5. $v_{Ti} \rightarrow$ macroscopic ion velocity
- 6. $a/v_{Ti} \rightarrow$ corresponding macroscopic time scale

Two Approaches to Ideal MHD

- A. Collision dominated plasma: regions limit to ideal MHD
- B. Collision free limit: also works but for subtle reasons

Collision Dominated Limit

- 1. The electrons and ions are assumed collision dominated
- 2. This is the basic requirement to keep the pressure isotropic. Many collisions keep particle close together. This allows us to divide the plasma into small fluid element and provides a good physical description.
- 3. There are 2 conditions for a collision dominated plasma
 - a. on the time scale of internal there are many collisions, so the plasma is near maxwellion
 - ions: ion-ion coulomb collisions dominate

• electrons: electron-ion, electron-electron collisions are comparable

• ions:
$$\omega \tau_{ii} \sim \frac{v_{Ti} \tau_{ii}}{a} \ll 1$$

• electrons:
$$\omega \tau_{ee} \sim \omega \tau_{ee} \sim \frac{v_{Ti}}{a} \tau_{ee} \sim \left(\frac{m_e}{m_i}\right)^{1/2} \frac{v_{Ti} \tau_{ii}}{a} \ll 1$$

- Recall: $\tau_{ee} \sim \tau_{ei} \sim \left(m_e/m_i\right)^{1/2} \tau_{ii}$ and $\tau_{EQ} \sim \left(m_i/m_e\right)^{1/2} \tau_{ii}$
- The ion condition is most severe

- b. The macroscopic length scale must be much larger than the mean free path for collisions. λ_α = $v_{T\alpha}\tau_{\alpha\alpha}$
- ions $\frac{\lambda_i}{a} = \frac{v_{Ti}\tau_{ii}}{a} \ll 1$ (same as before)
- electrons $\frac{\lambda_e}{a} \sim \frac{v_{Te}\tau_{ee}}{a} \sim \frac{v_{Ti}\tau_{ii}}{a} \ll 1$ (same as ions)

MHD Limit

- 1. Use the collision dominated assumption to obtain ideal MHD
- 2. Several additional assumptions will also be required
- 3. Various moments in the equations are approximated by classical transport theory of Braginskii.
- 4. Transport coefficients can also be derived in the homework problems

Reduction of 1 Fluid Equation

- 1. Maxwell Equations OK
- 2. Mass conservation OK
- 3. Momentum Equation

a. ions:
$$\vec{\Pi}_{ii} \sim \mu_i \left[2\nabla_{\parallel} \cdot \underline{u}_{i\parallel} - \frac{2}{3}\nabla \cdot \underline{u}_i \right] \sim \mu_i \frac{u_i}{a}$$

viscosity

b. electrons: $\vec{\Pi}_{ee} \sim \mu_e \frac{u_e}{a}$

c. Note:
$$\underline{u}_e = \underline{v} - \frac{\underline{J}}{en}$$

$$\frac{J}{env} \sim \frac{\nabla p}{Benv} \sim \frac{T}{aBev_{Ti}} \sim \frac{r_{ii}}{a} \ll 1$$
assume small gyro radius

- d. $\therefore \underline{u}_i \approx \underline{u}_e$: small difference in the flow velocities generate macroscopic current density <u>J</u>, but $|\underline{u}_i \underline{u}_e| \ll v_{Ti}$
- e. Ordering:
 - $\Pi_{ee} \sim \mu_e \frac{u_e}{a} \sim \mu_e \frac{v_{Ti}}{a}$

•
$$\mu_e \sim \left(\frac{m_e}{m_i}\right)^{1/2} \mu_i \rightarrow \Pi_e \ll \Pi_i$$

•
$$\frac{\Pi_{ii}}{P_i} \sim \frac{\mu_i V_{Ti}}{ap_i}$$
 $\mu_i \sim nT_i \tau_{ii}$ viscosity coefficient

- $\therefore \frac{\Pi_{ii}}{p_i} \sim \frac{\tau_{ii} V_{Ti}}{a} \ll 1$ collision dominated assumption
- Both Π terms are negligible in momentum equation

f.
$$\rho \frac{d\underline{v}}{dt} = J \times B - \nabla p$$
 momentum equation

4. Ohms Law

$$4 \qquad 3 \qquad 2 \qquad 1$$

$$\underline{E} + \underline{v} \times \underline{B} = \frac{1}{en} \left[\underline{R}_{e} - \nabla \cdot \underline{\vec{P}}_{=e} + \underline{J} \times \underline{B} \right]$$
Hall effect
Hall effect
Electron diamagnetism ω_{re}
Resistivity

a. 1~2

1 / 4 ~ J/enV ~
$$\frac{r_{Li}}{a} \ll 1$$
 small gyro radius assumptions

b. $\underline{R}e \sim resistivity$ momentum transfer due to collisions

•
$$\underline{R}_{e} = en \eta \underline{d}, \ \eta = \frac{m_{e}}{ne^{2}\tau_{ei}}$$

• 3 / 4 ~
$$\frac{m_e}{ne^2\tau_{ei}}\frac{J}{v_{Ti}B}$$
 ~ $\frac{m_e}{e\tau_{ei}B}\left(\frac{r_{ii}}{a}\right)$ ~ $\left(\frac{m_e}{m_i}\right)^{1/2}\left(\frac{a}{V_{Ti}\tau_{ii}}\right)\left(\frac{r_{ii}}{a}\right)^2$

c.
$$\left(\frac{m_e}{m_i}\right)^{1/2} \left(\frac{a}{v_{Ti}\tau_{ii}}\right) \left(\frac{r_{ii}}{a}\right)^2 \ll 1$$

d. The plasma must be larger enough so that resistive diffusion does not play an important role.

5. Energy equation
$$\left(\underline{\mathbf{v}}\cdot\nabla\sim\frac{\partial}{\partial t}\right)$$

a. ions:
$$\Pi_i/P_i \ll 1$$

b. electrons: $\Pi_e/p_e \ll 1$, $(J/en \cdot \nabla)p_e \ll \nabla \cdot p_e$, $(\Pi_e J/en) \ll vp_e$

c.
$$\frac{d}{dt}\frac{p_i}{\rho^r} = \frac{2}{3\rho^r} \left[Q_i - \nabla \cdot \underline{h}_i \right]$$

$$d. \quad \frac{d}{dt} \frac{p_e}{\rho^r} = \frac{2}{3\rho^r} \Big[Q_e - \nabla \cdot \underline{h}_e \Big]$$

e.
$$\underline{h}_{i} = -\kappa_{\parallel i} \nabla_{\parallel} T_{i} - \kappa_{\perp i} \nabla_{\perp} T_{i}$$

f. $\underline{h}_{e} = -\kappa_{\parallel e} \nabla_{\parallel} T_{e} - \kappa_{\perp e} \nabla_{\perp} T_{e}$
dominant contribution is from thermal conduction

g. In general $\kappa_{\parallel} \gg \gg \kappa_{\perp}$

h.
$$Q_1 = -\frac{n(T_i - T_e)}{\tau_{eq}} \rightarrow \text{ equilibration}$$

i.
$$Q_e = -\frac{n(T_e - T_i)}{\tau_{eq}} + \frac{J \cdot \underline{R}e}{en} \rightarrow equilibration plus ohmic heating$$

Lecture 2 Page 9 of 11 j. Note: cons. of energy $\rightarrow Q_i + Q_e - \underline{J} \cdot \underline{R}e/en = 0$

k. Compare

•
$$\frac{JRe}{en} / \omega p_e = \left(\frac{m_e}{m_i}\right)^{1/2} \left(\frac{a}{v_{Ti}\tau_{ii}}\right) \left(\frac{r_{ii}}{a}\right)^2 \ll 1$$

• small ohmic heating in MHD time scale

$$I. \quad \therefore \frac{d}{dt} \frac{p_i}{\rho^r} = \frac{2}{3\rho^r} \Bigg[\nabla_n \left(\kappa_{\parallel_i} \cdot \nabla_{\parallel} T_i \right) + n \frac{\left(T_e - T_i \right)}{\tau_{EQ}} \Bigg]$$

$$m. \quad \frac{d}{dt} \frac{p_e}{\rho^r} = \frac{2}{3\rho^r} \left[\nabla_n \left(\kappa_{\parallel_e} \cdot \nabla_{\parallel} T_e \right) + n \frac{\left(T_i - T_e \right)}{\tau_{EQ}} \right]$$

- n. But MHD is a single fluid model 1 pressure, 1 temperature
- o. This occurs if τ_{EQ} is very small, forcing $T_{e} \approx T_{i}$

p. Small
$$\tau_{EQ}$$
 require $\frac{nT}{\tau_{EQ}} \ll \omega p$ or $\omega \tau_{EQ} \ll 1$

$$q. ~ \left(\frac{m_i}{m_e}\right)^{1/2} \frac{v_{Ti}\tau_{ii}}{a} \ll 1$$

This is more severe than the collision dominated momentum condition energy equilibration $\tau \gg$ momentum equilibration τ .

- r. If this is true then
 - 1^{st} information $T_e \approx T_i \equiv T/2$
 - 2nd information (add equations)
 - $\bullet \quad \frac{d}{dt} \frac{p}{\rho^r} = \frac{1}{3\rho^r} \nabla_{\parallel} \left(\kappa_{\parallel} + \kappa_{\parallel e} \right) \nabla_{\parallel} T$
 - But $\kappa_{\parallel i} \approx \left(m_{e}^{}/m_{i}^{}\right)^{1/2} \kappa_{\parallel e}^{}$, $\kappa_{\parallel e} \approx nT_{e}^{} \tau_{ei}^{}/m_{e}^{}$

• Thus
$$\frac{\nabla \cdot \kappa_{\parallel i} \nabla_{\parallel} T}{\omega p} \sim \left(\frac{m_i}{m_e}\right)^{1/2} \frac{\tau_{ii} v_{Ti}}{a} \ll 1$$

This gives Ideal MHD Equation

$$\nabla \times \underline{\mathbf{E}} = -\frac{\partial \mathbf{B}}{\partial t} \qquad \nabla \cdot \underline{\mathbf{B}} = \mathbf{0}$$
$$\nabla \times \underline{\mathbf{B}} = \mathbf{u}_0 \mathbf{J} \qquad \mathbf{n}_i = \mathbf{n}_e = \mathbf{n}$$
$$\frac{\partial \mathbf{p}}{\partial t} + \nabla \cdot \rho \underline{\mathbf{v}} = \mathbf{0}$$
$$\rho \frac{d \underline{\mathbf{v}}}{d t} = \underline{\mathbf{J}} \times \underline{\mathbf{B}} - \nabla \mathbf{p}$$
$$\underline{\mathbf{E}} + \underline{\mathbf{V}} \times \underline{\mathbf{B}} = \mathbf{0}$$
$$\frac{d}{dt} \frac{\mathbf{p}}{\rho^r} = \mathbf{0}$$