



State Machines, I: Invariants



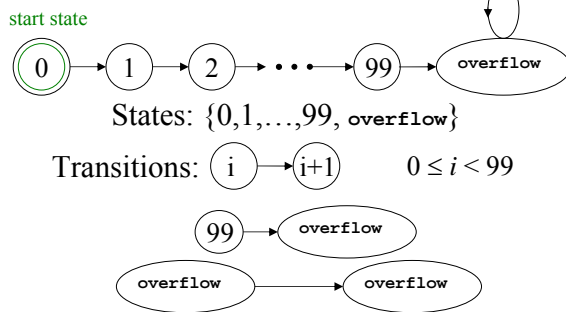
State machines

State machine:
Step by step procedure,
possibly responding to input.



State machines

The **state graph** of a 99-bounded counter:



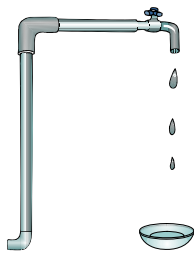
Die Hard

Picture source: <http://movieweb.com/movie/diehard3/>



Die Hard Once & For All

Supplies:



Water



9 Gallon Jug



State machines

Die hard state machine

State = amount of water in the jug: (b, l)
where $0 \leq b \leq 9$ and $0 \leq l \leq 3$.

Start State = $(0, 0)$



State machines

Die Hard Transitions:

1. Fill the little jug: $(b, l) \rightarrow (b, 3)$ for $l < 3$
2. Fill the big jug: $(b, l) \rightarrow (9, l)$ for $b < 9$
3. Empty the little jug: $(b, l) \rightarrow (b, 0)$ for $l > 0$
4. Empty the big jug: $(b, l) \rightarrow (0, l)$ for $b > 0$

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State machines

5. Pour from big jug into little jug (for $b > 0$):

(i) If no overflow, then $(b, l) \rightarrow (0, b+l)$,
 $b + l \leq 3$

(ii) otherwise $(b, l) \rightarrow (b - (3 - l), 3)$.

6. Pour from little jug into big jug.
Likewise.

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State Invariants

Die hard once and for all

Invariant:

$P(\text{state}) ::=$ “3 divides the number of gallons
in each jug.”

$$P((b, l)) ::= (3 \mid b \wedge 3 \mid l)$$

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State Invariants

Floyd's Invariant Method

(just like induction)

1) Base case: Show $P(\text{start})$.

2) Invariant case: Show

if $P(q)$ and $(q \rightarrow r)$, then $P(r)$.

3) Conclusion: P holds for all reachable
states, including final state (if any).

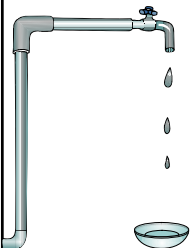
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Die Hard Once & For All



Corollary: No state
 $(4, x)$ is reachable, so
Bruce Dies!

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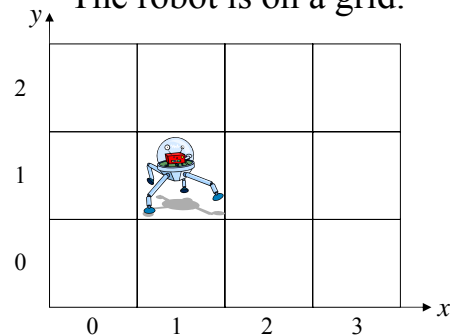
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A Robot on the Diagonal


The robot is on a grid.

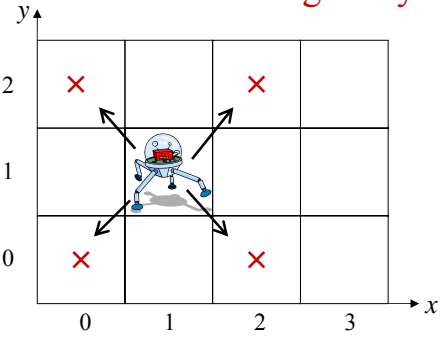


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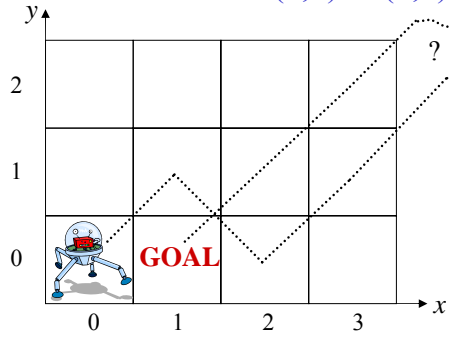
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 **A Robot on the Diagonal**
It can **move diagonally**.




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 **A Robot on the Diagonal**
Can it reach from (0,0) to (1,0)?



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 **Robot Invariant**

NO!

$P((x, y)) ::= x + y$ is even
 $P((0, 0))$ is true.

Transition adds ± 1 to **both** x and y


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 **Robot Invariant**

So all positions (x, y) reachable by robot have $x + y$ **even**,
 but $1 + 0 = 1$ is **odd**.

Therefore **(1,0)** is not reachable.

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 **Team Problem**

Problem 1

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

The Fifteen Puzzle Explained!

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 **GCD correctness**

The Euclidean Algorithm:
 Computing $\text{GCD}(a, b)$

1. Set $x := a, y := b$.
2. If $y = 0$, return x & terminate;
3. else set $(x, y) := (y, \text{rem}(x,y))$ *simultaneously*;
4. Go to step 2.

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6	9	13	7
12	10	5	
3	4	14	2
15	8	11	1

GCD correctness

Example: $\text{GCD}(414, 662)$
 $= \text{GCD}(662, 414)$ since $\text{rem}(414, 662) = 414$
 $= \text{GCD}(414, 248)$ since $\text{rem}(662, 414) = 248$
 $= \text{GCD}(248, 166)$ since $\text{rem}(414, 248) = 166$
 $= \text{GCD}(166, 82)$ since $\text{rem}(248, 166) = 82$
 $= \text{GCD}(82, 2)$ since $\text{rem}(166, 82) = 2$
 $= \text{GCD}(2, 0)$ since $\text{rem}(82, 2) = 0$

Return value: 2.

6	9	13	7
12	10	5	
3	4	14	2
15	8	11	1

GCD correctness

Euclid Algorithm as State Machine:

- States ::= $\mathbb{N} \times \mathbb{N}$,
- start ::= (a, b) ,
- state transitions defined by the rule
 $(x, y) \rightarrow (y, \text{rem}(x, y))$ for $y \neq 0$.

6	9	13	7
12	10	5	
3	4	14	2
15	8	11	1

GCD correctness

The Invariant is

$$P(x, y) ::= [\text{gcd}(a, b) = \text{gcd}(x, y)].$$

$P(\text{start})$: at start $x = a$, $y = b$, so
 $P(\text{start}) \equiv [\text{gcd}(a, b) = \text{gcd}(a, b)]$
 which holds trivially.

6	9	13	7
12	10	5	
3	4	14	2
15	8	11	1

GCD correctness

Transitions: $(x, y) \rightarrow (y, \text{rem}(x, y))$

Invariant holds by

Lemma: $\text{gcd}(x, y) = \text{gcd}(y, \text{rem}(x, y))$,
 for $y \neq 0$.

6	9	13	7
12	10	5	
3	4	14	2
15	8	11	1

GCD correctness

Conclusion: on termination

$$x = \text{gcd}(a, b).$$

Proof: On termination, $y = 0$, so
 $x = \text{gcd}(x, 0) = \underbrace{\text{gcd}(x, y) = \text{gcd}(a, b)}_{\text{invariant}}$

6	9	13	7
12	10	5	
3	4	14	2
15	8	11	1

GCD Termination

y decreases at each step &
 $y \in \mathbb{N}$

(another invariant).

Well Ordering implies
 reaches minimum & stops.



Robert W Floyd (1934–2001)

Eulogy by Knuth: <http://www.acm.org/pubs/membernet/stories/floyd.pdf>
Picture source: <http://www.stanford.edu/dept/news/report/news/november7/floydobit-117.html>

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Team Problem

Problem 2

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