

# Generation of internal gravity waves by flow over topography

## Boussinesq equations

From  $\rho = \rho_0(1 - b/g)$ ,  $p = -\rho_0gz + \rho_0P$ , and  $b \ll g$  (and  $c_s \gg \sqrt{gH}$ )

$$\frac{D}{Dt} \mathbf{u} + f \hat{\mathbf{z}} \times \mathbf{u} = -\nabla P + \hat{\mathbf{z}} b$$

$$\nabla \cdot \mathbf{u} = 0$$

$$\frac{D}{Dt} b = 0$$

## Linearized equations

One mechanism for creating internal gravity wave is flow over topography. We'll consider the simple case with zonal flow  $U$  at a sinusoidal topography at  $z = h_0 \cos(kx)$ . The basic state is composed of a mean zonal flow  $\bar{u} = U(z)$ , a vertical stratification  $\frac{\partial \bar{b}}{\partial z} = N^2(z)$  in hydrostatic balance,  $\bar{P} = \int^z \bar{b}$ . All deviations are negligible compared with the basic state. For simplicity we consider two dimensional problems only ( $\frac{\partial}{\partial y} = 0$  for all perturbations).

The perturbation equations are,

$$\begin{aligned}\frac{\partial}{\partial t}u + U \frac{\partial}{\partial x}u + wU_z - fv &= -\frac{\partial}{\partial x}P \\ \frac{\partial}{\partial t}v + fu &= -\frac{\partial}{\partial y}P \\ \frac{\partial}{\partial t}w + U \frac{\partial}{\partial x}w &= -\frac{\partial}{\partial z}P + b \\ \frac{\partial}{\partial x}u + \frac{\partial}{\partial z}w &= 0 \\ \frac{\partial}{\partial t}b + U \frac{\partial}{\partial x}b + wN^2 &= 0\end{aligned}$$

## Bottom boundary conditions

The condition at the bottom is of no normal flow.

$$(U\hat{\mathbf{x}} + \mathbf{u}) \cdot \hat{\mathbf{n}} = (U\hat{\mathbf{x}} + \mathbf{u}) \cdot \frac{\hat{\mathbf{z}} - \nabla h}{\sqrt{1 + |\nabla h|^2}} = 0$$

or

$$(U + u) \frac{\partial}{\partial x} h = w \quad \text{at} \quad z = h(x, y)$$

(We can find the normal by thinking about a function  $F(x, y, z) = z - h(x, y)$ ; its three-dimensional gradient is perpendicular to the surfaces of constant  $F$ , in particular the one at  $F = 0$  which represents the boundary.) This linearizes to

$$w = U \frac{\partial}{\partial x} h \quad \text{at} \quad z = 0$$

when the slope and the net height change is small.

## Generation of lee waves with no rotation

The motions is two-dimensional and non-divergent and we can therefore write the linear problem in terms of the wave streamfunction,

$$u = -\frac{\partial}{\partial z}\psi, \quad w = \frac{\partial}{\partial x}\psi.$$

The streamfunction satisfied the linear problem,

$$U^2 \frac{\partial^2}{\partial x^2} \left[ \nabla^2 \psi + \left( \frac{N^2}{U^2} - \frac{U_{zz}}{U} \right) \psi \right] = 0.$$

For motion that is periodic in  $x$ , we can integrate the above equation twice to obtain,

$$\nabla^2 \psi + \left( \frac{N^2}{U^2} - \frac{U_{zz}}{U} \right) \psi = 0.$$

For solutions that are periodic in  $x$  with wavenumber  $k$ , we can write the streamfunction as,

$$\psi = \phi(z)e^{ikx}$$

where it is understood that we take the real part of the solution.

Thus  $\phi$  satisfies,

$$\frac{\partial^2}{\partial z^2} \phi + (m^2(z) - k^2) \phi = 0, \quad m^2 \equiv \frac{N^2}{U^2} - \frac{U_{zz}}{U}.$$

### *Boundary conditions*

The boundary condition over a bumpy lower boundary in terms of a streamfunction is given by,

$$\psi(x, 0, t) = Uh(x, y).$$

For a bumpy lower boundary with elevation given by

$$h = h_0 \cos(kx)$$

we have

$$\psi(x, 0) = Uh_0 e^{ikx}, \quad \phi(0) = Uh_0.$$

We will imagine that the upper boundary condition is very far and idealize that by considering that  $z$  runs between 0 at the lower boundary and infinity for large positive  $z$ .

### *Short scales*

For a mean flow with zero shear, we have

$$\sqrt{k^2 + m^2} = \frac{N}{U} \quad \text{or} \quad m^2 = \frac{N^2}{U^2} - k^2$$

If the topographic scale is short compared to  $U/N$ , the  $m^2$  will be negative so that if  $\hat{m} = \sqrt{k^2 - N^2/U^2}$  then

$$\psi = U h_0 \Re(e^{ikx \mp \hat{m}z})$$

We must choose the negative sign so that the disturbance decays with height

$$\psi = U h_0 \cos(kx) \exp(-\sqrt{k^2 - N^2/U^2} z)$$

*Long scales*

If  $k^2 < N^2/U^2$  then  $m$  is real and our solution looks like

$$\psi = U h_0 \Re(e^{ikx \pm imz})$$

and we must decide which sign to use (or have some contribution from each). We shall discuss a number of ways of resolving the issue.

GROUP VELOCITY: Since the topography is the source of the waves, we would expect the vertical component of  $c_g$  to be positive. This means that if we suddenly add or eliminate the topography, the disturbance in the wave field would propagate upwards. Therefore

$$\frac{\partial}{\partial m} \left[ Uk - \frac{Nk}{\sqrt{k^2 + m^2}} \right] = \frac{Nkm}{(k^2 + m^2)^{3/2}} > 0$$

The positive sign is the correct one, so that

$$\psi = Uh_0 \cos(kx + \sqrt{N^2/U^2 - k^2} z)$$

ENERGY FLUX: For these 2-D motions, we can write the average (as in zonal average) vertical energy flux as

$$\overline{wP} = \frac{\overline{\partial\psi}}{\partial x} P = -\psi \frac{\overline{\partial P}}{\partial x}$$

and we expect it to be positive. Using the zonal momentum equation gives

$$\overline{wP} = -\psi \frac{\overline{\partial^2\psi}}{\partial t \partial z} - U\psi \frac{\overline{\partial^2\psi}}{\partial x \partial z} = -\psi \frac{\overline{\partial^2\psi}}{\partial t \partial z} + U \frac{\overline{\partial\psi}}{\partial x} \frac{\overline{\partial\psi}}{\partial z}$$

For steady flow with  $\psi = Uh_0 \cos(kx \pm mz)$ , we have

$$\overline{wP} = \pm \frac{1}{2} U^3 h_0^2 km$$

again showing the plus sign to be the desired one.



DAMPING: Another approach is to add damping to the equations so that even the vertically wavy mode decays and reject any growing solution. We take

$$\begin{aligned}\frac{\partial}{\partial t} \mathbf{u} + U \frac{\partial}{\partial x} \mathbf{u} &= -\nabla P + b \hat{\mathbf{z}} - \epsilon \mathbf{u} \\ \nabla \cdot \mathbf{u} &= 0 \\ \frac{\partial}{\partial t} b + U \frac{\partial}{\partial x} b + w N^2 &= -\epsilon b\end{aligned}$$

We now have

$$(ikU + \epsilon)^2 = -\frac{N^2 k^2}{k^2 + m^2} \quad \Rightarrow \quad m^2 = \frac{N^2}{U^2(1 - i\epsilon/kU)^2} - k^2$$

The imaginary part of  $m$  is

$$\Im(m) \simeq \frac{1}{\Re(m)} \frac{\epsilon N^2}{kU^3}$$

so that vertically decaying solutions  $\Im(m) > 0$  require  $\Re(m) > 0$  as before.

INITIAL VALUE PROBLEM: Finally, we can look at what happens if we suddenly turn the flow or the topography on. Using

$$\left(\frac{\partial}{\partial t} + ikU\right)^2 \left(\frac{\partial^2}{\partial z^2} - k^2\right) \psi = k^2 N^2 \psi$$

with the initial and boundary conditions

$$\psi(z, 0) = 0 \quad , \quad \psi(0, t) = Uh_0 \quad , \quad \psi(\infty, t) = 0$$

The Laplace transformed problem gives the same  $z$  structure equation as in the damped system

$$\left(\frac{\partial^2}{\partial z^2} - k^2\right) \psi^T = -\frac{N^2}{(U^2 - 2isU/k - s^2/k^2)} \psi^T$$

with

$$\psi^T(0, s) = Uh_0/s \quad , \quad \psi^T(\infty, s) = 0$$

Again the positive root is the proper one

$$\psi^T = Uh_0 \frac{1}{s} \exp(i \left[ \frac{N^2}{(U^2 - 2isU/k - s^2/k^2)} - k^2 \right]^{1/2} z)$$

The inverse transform

$$\psi = Uh_0 \int_{-i\infty}^{i\infty} \frac{1}{s} \exp(i \left[ \frac{N^2}{(U^2 - 2isU/k - s^2/k^2)} - k^2 \right]^{1/2} z) e^{st}$$

is dominated by the singularity at  $s = 0$ ; for large time, we recover the standing wave solution.

## The Nonlinear Problem

We can also look at the nonlinear problem in simple 2-D cases. The steady equations

$$\begin{aligned}\mathbf{u} \cdot \nabla q &= -\frac{\partial}{\partial x} b \\ \mathbf{u} \cdot \nabla(b + N^2 z) &= 0\end{aligned}$$

can be solved by noting that  $\mathbf{u} \cdot \nabla \phi = 0$  implies  $\phi = \Phi(\psi)$  – the advected property is constant along streamlines, since the parcels of fluid move along the streamlines in steady flows. The streamfunction here includes both the mean flow and the fluctuations  $\psi = Uz + \psi'(\mathbf{x})$ . Therefore

$$N^2 z + b'(\mathbf{x}) = B(Uz + \psi'(\mathbf{x})) = \frac{N^2}{U}(Uz + \psi')$$

Uniqueness could be a problem, of course. In any case, we'll take

$$b' = \frac{N^2}{U} \psi'$$

The vorticity equation then tells us that

$$\mathbf{u} \cdot \nabla q = w \frac{N^2}{U} \quad \Rightarrow \quad \mathbf{u} \cdot \nabla \left( q - \frac{N^2}{U} z \right) = 0$$

so that

$$\nabla^2 \psi' - \frac{N^2}{U} z = Q(Uz + \psi') = -\frac{N^2}{U^2} (Uz + \psi')$$

or

$$\nabla^2 \psi' = -\frac{N^2}{U^2} \psi'$$

with the boundary conditions

$$\psi'(x, h) = Uh \quad , \quad \text{radiation condition at infinity}$$

Solutions can be found in the form,

$$\psi(x, h) = Uh(x) \cos(N(z - h)/U) + Uf(x) \sin(N(z - h)/U).$$

the function  $f(x)$  is determined imposing the radiation condition.

## Convective instability

The buoyancy field is given by,

$$b = N^2 z - \frac{N^2}{U} \psi.$$

The condition for convective instability is,

$$\frac{\partial}{\partial z} b = N^2 - \frac{N^2}{U} \frac{\partial}{\partial z} \psi < 0,$$

or

$$\frac{\partial}{\partial z} \psi > U.$$

For solutions of the form

$$\psi = U h_0 \Re(e^{ikx \pm imz}), \quad m^2 = \frac{N^2}{U^2} - k^2 \approx \frac{N^2}{U^2}$$

this implies

$$\frac{N h_0}{U} > 1.$$

## Shear instability

The condition for shear instabilities is

$$Ri \equiv \frac{N^2 + b_z}{(U_z + u_z)^2} < \frac{1}{4}.$$

Nappo, Atmospheric gravity waves, 141-144.

## Critical levels

Critical levels appear when the phase speed of the waves matches the mean flow speed. For stationary non-rotating lee waves this happens when  $U = 0$ . The vertical wavenumber  $m \approx N/U \rightarrow \infty$ , The positive sign is the correct one, so that

$$\psi = Uh_0 \cos(kx + \sqrt{N^2/U^2 - k^2} z).$$

GROUP VELOCITY:

$$c_g \frac{\partial}{\partial m} \left[ Uk - \frac{Nk}{\sqrt{k^2 + m^2}} \right] = -\frac{Nkm}{(k^2 + m^2)^{3/2}} \rightarrow 0$$

ENERGY FLUX: The energy flux vanishes at the critical level.

$$\overline{wP} = \pm \frac{1}{2} U^3 h_0^2 km.$$

Nappo, Atmospheric gravity waves, 114-123.

**PSI**

LeBlond and Mysak, *Waves in the Ocean*, 377-379.