# A Survey of Crystalline Defects

22.14: Nuclear Materials

### **Outline – Defects**

- 0D Defects
  - Vacancies & Interstitials
- 1D Defects (Dislocations)
- 2D Defects
  - Grain & twin boundaries
- 3D Defects
  - Coherent vs. incoherent inclusions, precipitates



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Single crystal of MnS, space group  $Fm\overline{3}m$ , FCC crystal structure

### **Crystalline Solids**

http://www.webelements.com/calcium/crystal\_structure.html

• Periodic, long-range ordered structures





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Face centered cubic calcium crystal structure Single crystals of calcium metal under kerosene

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### **Form Follows Structure**



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> Pyrite (FeS<sub>2</sub>), simple cubic (SC) Wikimedia Commons



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Gold (Au), face centered cubic (FCC) http://www.palaminerals.com /news\_2007\_v2.php



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Gypsum, monoclinic http://www.galleries.com/minerals/ symmetry/monoclin.htm

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### Defects, Slide 4

#### http://www.zkg.de/en/artikel/bildpopup\_en\_1698578.html?image=5

## **Grain vs. Crystal Structure**

• Why do grains look more spherical, when crystal

structures are cubic?



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https://www.ndeed.org/EducationResources/CommunityCollege/ Materials/Graphics/CrystalStructure/BCC.jpg Body centered cubic (BCC) iron crystal structure (left), micrograph of Fe-12Cr-2Si (right)



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# Grain vs. Crystal Structure

- Wulff crystals describe lowest energy surfaces
- Exposing *close packed planes* lowers surface energy



This image is in the public domain.

http://www.ctcms.nist.gov/wulffman/examples.html

### **Grain vs. Crystal Structure**

• We see 2D slices of Wulff crystals as grains!



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http://www.ctcms.nist.gov/wulffman/examples.html

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### Point Defects (0D) – Vacancies

Was, p. 163

[Was, Gary S. *Fundamentals of Radiation Materials Science,* p. 163. ISBN: 9783540494713.] removed due to copyright restrictions.

### Point Defects (0D) – Multiple Vacancies Was, p. 163

[Was, Gary S. *Fundamentals of Radiation Materials Science*, p. 163. ISBN: 9783540494713.] removed due to copyright restrictions.

### Point Defects (0D) – Interstitials

Was, p. 157

• Extra atoms shoved into the crystal lattice



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### Point Defects (0D) – Split Interstitials

- Was, p. 159
- Dumbbells are often lower energy configurations
- Also much easier to diffuse
  - One interstitial can "knock" the other in their common direction
  - Lower distance to movement







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Was, p. 160

	Symbol	Unit	Al	Cu	Pt	Mo	W
Interstitials Harder to make, easier to move							
Relaxation volume	Virelax	Atomic vol.	1.9	1.4	2.0	1.1	
Formation energy	$E_{\rm f}^{\rm i}$	eV	3.2	2.2	3.5		
Equilibrium	$C_{\rm i}(T_{\rm m})$	_	$10^{-18}$	$10^{-7}$	$10^{-6}$		
concentration at $T_{\rm m}^*$							
Migration energy	$E_{\rm m}^{\rm i}$	eV	0.12	0.12	0.06		0.054
Vacancies Eas	sier to ma	ike, harder to	o move				
Relaxation volume	V <sub>relax</sub>	Atomic vol.	0.05	-0.2	-0.4		
Formation energy	$E_{\rm f}^{\rm v}$	eV	0.66	1.27	1.51	3.2	3.8
Formation entropy	$S_{f}^{v}$	k	0.7	2.4			2
Equilibrium	$C_{\rm v}(T_{\rm m})$	_	$9 \times 10^{-6}$	$2 \times 10^{-6}$			$4 \times 10^{-5}$
concentration at $T_m^*$							
Migration energy	$E_{\rm m}^{\rm v}$	eV	0.62	0.8	1.43	1.3	1.8
Activation energy	$Q_{\rm vSD}$	eV	1.28	2.07	2.9	4.5	5.7
for self-diffusion		Qa					

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• How much energy to make a vacancy?



- How much energy to make a vacancy?
- Fe-Fe bond dissociation energy:

$$118 \frac{kJ}{mol} = 1.22 eV [1]$$

- Fe-Fe cluster calculations give 0.64eV [2]
- Z=8 in BCC Fe: 5.12 9.76*eV*



[1] Y-R Luo. "Bond Dissociation Energies." CRC Handbook (2009) [2] T. Nakazawa, T. Igarashi, T. Tsuru, Y. Kaji, *Comp. Mater. Sci.*, 46(2):367-375 (2009)

- Z=8 in BCC Fe: 5.12 [2] - 9.76 [1] *eV*
- Molecular dynamics (MD) calculations [3] show:  $E_{Vacancy} = 1.83eV$
- Difference due to crystal relaxation

[1] Y-R Luo. "Bond Dissociation Energies." CRC Handbook (2009) [2] T. Nakazawa, T. Igarashi, T. Tsuru, Y. Kaji, *Comp. Mater. Sci.*, 46(2):367-375 (2009) [3] B. D. Wirth et al. *J. Nucl. Mater.*, 244:185:194 (1997)

Let other atoms

relax inward (-)

• Which interstitial is most stable?

Relaxed structure and formation properties of point-defects in  $\alpha$ -iron<sup>a</sup>

Defect	Atomic positions (a)	Formation energy (eV)	Formation volume $(\Omega)$
< 110 > dumbbell	(0.245, 0.245, 0.5) (0.755, 0.755, 0.5)	4.76	1.43
< 111 > dumbbell	(0.291, 0.291, 0.291) (0.709, 0.709, 0.709)	4.87	1.74
<111> crowdion	(0.331, 0.331, 0.331) (0.749, 0.749, 0.749) (1.167, 1.167, 1.167)	4.91	1.77
vacancy		1.83	0.93



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B. D. Wirth et al. J. Nucl. Mater., 244:185:194 (1997)

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• Does it matter?

B. D. Wirth et al. J. Nucl. Mater., 244:185:194 (1997)

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# **Direct Measurement of** $C_{1V}^{eq}$

Mehrer, p. 78

- Positron annihilation spectroscopy (PAS)
  - Shoot positrons into material, they annihilate very quickly with local electrons
  - Positrons can bind to vacancy, which has a reduced electron cloud
  - Lasts longer!



### Mean positron lifetime in aluminum

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# **Direct Measurement of** $C_{1V}^{eq}$

A. Khellaf et al., Mater. Trans. 43(2):186 (2002)

- Quenching resistance measurements
  - Heat material to high temperature, quench, measure resistivity
  - Resistivity directly proportional to vacancy concentration
  - Measured at liquid-He temperature



#### temperature

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# **Dislocations (1D)**

- Extra half-plane of atoms shoved into the lattice
- Two types: Edge & Screw

[Fig. 7.2 in p. 268 from Was, Gary S. *Fundamentals of Radiation Materials Science*, ISBN: 9783540494713] removed due to copyright restrictions.

# **Dislocations (1D)**

- Extra half-plane of atoms shoved into the lattice
- Two types: Edge & Screw

[Fig. 7.3 in p. 268 from Was, Gary S. *Fundamentals of Radiation Materials Science*, ISBN: 9783540494713] removed due to copyright restrictions.

### **Edge vs. Screw Dislocations**

Passchier and Trouw, "Microtectonics," p. 33 (2005)



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#### 22.14: Nuclear Materials

### **Edge vs. Screw Dislocations**

Passchier and Trouw, "Microtectonics," p. 33 (2005)



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## **The Burgers Vector**

Was, p. 275

- Start at one atom, make a circle around the dislocation core
- The *Burgers Vector* is the direction you move to reach your starting point
- Example: Edge disloc.



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### **Dislocation Glide**

Was, p. 272

• Movement one plane at a time along the slip direction

[Fig. 7.8 in p. 272 from Was, Gary S. *Fundamentals of Radiation Materials Science*, ISBN: 9783540494713] removed due to copyright restrictions.

### **Edge Dislocation Glide**



A video is played in class to demonstrate the concept.

http://youtu.be/kk2oOxSDQ7U

### **Dislocation Glide**

• Movement one plane at a time along the slip direction

[Fig. 7.9 from Was, Gary S. *Fundamentals of Radiation Materials Science*. ISBN: 9783540494713] removed due to copyright restrictions.

### **Dislocation Climb**

Was, p. 273

- Vacancy diffusion to dislocation core
  - Vacancies are attracted to the compressive stress at core

[Fig. 7.12 in p. 273 from Was, Gary S. *Fundamentals of Radiation Materials Science*, ISBN: 9783540494713] removed due to copyright restrictions.

## **Dislocation Kinks, Jogs**

Allen, "Kinetics of Materials," p. 116

- Dislocations preferentially move on slip systems
  - Certain directions of easier movement
  - Close packed planes slip in close packed directions

Crystal structure	Slip plane	Slip direction	Number of nonparallel planes	Slip directions per plane	Number of slip systems
Face-centered cubic	{11 <b>F</b> }	<110>	4	3	$12 = (4 \times 3)$
Body-centered cubic*	{110}	<111>	6	2	$12 = (6 \times 2)$
-	{112}	<111>	12	1	$12 = (12 \times 1)$
	{123}	<111>	24	1	$24 = (24 \times 1)$
Hexagonal close-packed†	{0001}	<1120>	1	3	$3 = (1 \times 3)$
2	{10 <b>1</b> 0}	<1120>	3	1	$3 = (3 \times 1)$
	{10 <b>T</b> 1}	<1120>	б	1	$6 = (6 \times 1)$

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### **Dislocation Motion**

Was, p. 277

[Fig. 7.18 in p. 277 from Was, Gary S. *Fundamentals of Radiation Materials Science*, ISBN: 9783540494713] removed due to copyright restrictions.

### **Glissile vs. Sessile Sections**

Allen, "Kinetics of Materials," p. 124



Two edge dislocations moving towards each other form a sessile jog

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### **Dislocation Loops**

- Loops have mixed edge/screw character
  - May be circular planes of atoms between two planes



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### **Dislocation Loop Sources**



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### **Dislocation Videos!**

http://www.numodis.fr/tridis/TEM/mechanisms/multiplication.html



**Dislocation sources in Mo-5Nb** A video is played in class to demonstrate the concept.

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### **Dislocation Videos!**

http://www.numodis.fr/tridis/TEM/mechanisms/multiplication.html



A Frank-Read Source in Silicon A video is played in class to demonstrate the concept.

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### **Dislocation Videos!**

http://www.numodis.fr/tridis/TEM/mechanisms/multiplication.html



Dislocation source in Ge at high temperature

A video is played in class to demonstrate the concept.
# **Dislocation Videos!**

http://www.numodis.fr/tridis/TEM/mechanisms/multiplication.html



Dislocation sources and pileup in Ge A video is played in class to demonstrate the concept.

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### **Dislocation Videos!**

http://www.numodis.fr/tridis/TEM/mechanisms/multiplication.html



**Dislocation sources in Si** 

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### Forces Between Edge Dislocations

Was, p. 289-290



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# All Together: Loops, Movement, Pileup

Dislocations moving & piling up in Inconel 617 (Ni-based alloy) under *insitu* straining in the TEM

http://youtu.be/r-geDwE8Z5Y

A video is played in class to demonstrate the concept.

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# **Grain Boundaries (2D)**

http://www-hrem.msm.cam.ac.uk/gallery/

- Regions of different orientation
  - May also be different crystal structure



### TEM image of a grain boundary in pure Al

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### **GBs can be Lines of Dislocations**



http://www.tf.uni-kiel.de/matwis/amat/def\_en/kap\_7/backbone/r7\_2\_1.html

http://moisespinedacaf.blogspot.com/

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Tilt grain boundary in Al

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# Twinning

• Alternate plastic deformation mechanism



Courtesy of Dynamic Characterization Group, property of Drexel University. Used with permission.

Defects, Slide 43

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### Twinning

Differently oriented dislocations inside/outside twin boundary!



Courtesy of Dynamic Characterization Group, property of Drexel University. Used with permission.

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# Inclusions (3D)

- Other phases trapped within base material
- Examples:
  - Secondary particle precipitates in Zircaloys
  - Carbides in steels
  - Y<sub>2</sub>O<sub>3</sub> particles in Oxide Dispersion Strengthened (ODS) steels



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Single crystal of MnS, space group Fm3m, FCC crystal structure embedded in Alcator rotor steel

# **Coherent vs. Incoherent**

• Which do you think would be better at sinking defects? Stopping dislocations?



#### Incoherent inclusion

#### **Coherent inclusion**

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# Switching Gears: Structural Material Properties

- Goals:
  - Understand true vs. engineering stress & strain
  - Quantify and differentiate between hardness, toughness, strength, ductility, stiffness
  - Know how to measure these properties
  - Resolve stresses onto slip systems
  - Predict the differences in mechanical response between single, dual, and polycrystalline materials

Images from now on are from T. H. Courtney, *Mechanical Behavior of Materials* unless otherwise noted

• Consider a single crystal bar of FCC material, tensioned in the [001] direction:



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### http://www.doitpoms.ac.uk/tlplib/slip/printall.php

Project force onto the tilted Slip plane containing the slip system, to get the stress

$$\sigma_{slip} = \frac{F}{A_{slip}} = \frac{F}{\frac{A_0}{\cos \theta}} = \sigma \cos \theta \quad \text{Slipple}$$

• Also project shear movement in direction of slip

$$\tau = \sigma \cos \lambda$$



Courtesy of University of Cambridge. Used with permission.

• The total shear stress becomes:



• Effectively reduces applies stress felt on a slip plane

• Consider a single crystal bar of FCC material, tensioned in the [001] direction:  $A_{\alpha}$ Onial D Shp direction

#### How much shear does the slip system feel?

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# **Examples of Shear & Slip**

### • Alcator C-Mod rotor steel in uniaxial tension:



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http://www.doitpoms.ac.uk/tlplib/slip/printall.php



Courtesy of University of Cambridge. Used with permission.

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http://www.doitpoms.ac.uk/tlplib/miller\_indices/printall.php



Courtesy of University of Cambridge. Used with permission.

A scanning electron micrograph of a single crystal of cadmium deforming by dislocation slip on 100 planes, forming steps on the surface

22.14: Nuclear Materials

N. Friedman et al. Phys. Rev. Lett. 109, 095507 (2012)



- Nanopillar compression tests using a diamond flat punch
- Clear 45 degree angles observed
  - Slip systems activated by *shear*

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#### 22.14: Nuclear Materials

S. Brinckmann et al. Phys. Rev. Lett. 100, 155502 (2008)



- Nanopillar compression tests using a diamond flat punch
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• Consider a single crystal bar of SC material, tensioned in the [001] direction:



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#### 22.14: Nuclear Materials

• Consider a single crystal bar of SC material, tensioned in the [001] direction:

Slip Plane	φ (°)-cos φ	Slip Direction	λ (°)cos λ	Schmid Factor
(100)	90-0.00	[010]	0-1.00	0
		[001]	90-0.00	0
(010)	0-1.00	[100]	90-0.00	0
		[001]	90-0.00	0
(001)	90-0.00	[100]	90-0.00	0
		[010]	0-1.00	0

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• Consider a single crystal bar of SC material, tensioned in the [011] direction:  $A_{0}$ O'BIAT O SID Diane Shp direction How much shear does the slip syster

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# Will It Slip or Break?

• Balance between two mechanisms:



# Critical Resolved Shear Stress (τ<sub>CRSS</sub>)

- Shear stress that is enough to get dislocations moving (plastic deformation)
- Related to the *yield stress* ( $\sigma_y$ ), the stress where plastic deformation starts:

 $\sigma_y = m \tau_{CRSS}$ 

• NOTE:  $\sigma_y$  has crystallographic dependence in single crystals! What about polycrystals?

# $\tau_{CRSS}$ vs. Temperature



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### What Happens When Dislocations Get Stuck?

• <u>Cross slip:</u> switching to other slip planes



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• Resolved shear stress must be high enough!

### **Stress vs. Strain**

- Stress: Force over area
- <u>Engineering stress</u>: Force divided by *original* area
- <u>True stress</u>: Force divided by *actual* area as it *changes*

$$\sigma = \frac{F}{A_0} \qquad \qquad \sigma_t = \frac{F}{A(t)} = \frac{F}{A_0} \frac{A_0}{A(t)} = \sigma \frac{A_0}{A(t)}$$

• Conserve volume during stretching:  $V_0 = V(t)$ 

### **Stress vs. Strain**

$$\sigma = \frac{F}{A_0} \qquad \qquad \sigma_t = \frac{F}{A(t)} = \frac{F}{A_0} \frac{A_0}{A(t)} = \sigma \frac{A_0}{A(t)}$$

• Conserve volume during stretching:  $V_0 = V(t)$ 

$$V_{0} = A_{0}L_{0} = V(t) = A(t)L(t); \quad \frac{A(t)}{A_{0}} = \frac{L_{0}}{L(t)}$$
$$\sigma_{t} = \sigma \frac{A_{0}}{A(t)} = \sigma \frac{L(T)}{L_{0}} = \sigma \frac{L_{0} + \delta L}{L_{0}} = \sigma \left(1 + \frac{\delta L}{L_{0}}\right)$$
Engineering strain ( $\varepsilon$ )

# **True vs. Engineering Strain**

- <u>Engineering strain ( $\epsilon$ ):  $\frac{\delta L}{L_0}$  (from *original* length)</u>
- <u>True strain  $(\varepsilon_{T})$ </u>: Instantaneous increase in length:

$$\varepsilon_T = \int_{L_0}^{L(t)} \frac{dL}{L} = \ln L(t) - \ln L_0 = \ln \left[\frac{L(t)}{L_0}\right]$$
$$\varepsilon_T = \ln \left[\frac{L_0 + \delta L}{L_0}\right] = \ln[1 + \varepsilon]$$

### **Stress-Strain Curves**

http://keytometals.com/page.aspx?ID=CheckArticle&site=kts&NM=42



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# Single, Dual, and Polycrystals



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# **Measuring Stress-Strain**

J. M. Gere, "Mechanics of Materials," pp. 12, 14



### Uniaxial tensile tester, with extensometer for measuring strain

Uniaxial compression tester, with extensometer and diameter measurement

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# **Dislocations and Defects**

E. Bitzek and P. Gumbsch, Dynamic aspects of dislocation motion: atomistic simulations, Materials Science and Engineering A, 400-401 (2005), pp. 40-44

• Defects can slow down (pin) dislocations

A video is played in class to demonstrate the concept.

# **Reviewing Material Properties**

- Find the following on a stress-strain diagram:
- Toughness
- Strength
- Ductility
- Stiffness
- Perhaps define them first...

# Young's Modulus (Stiffness, E)

Measures elastic deformation vs. stress



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Defects, Slide 72

#### 22.14: Nuclear Materials
# Toughness (G<sub>c</sub>)

Measures the energy it takes to separate a material
 Remote stress σ
 A
 A
 A
 A
 A
 A
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$$K_{Ic} = \sqrt{EG_c}$$



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#### Source: inventor.grantadesign.com

### Material Properties on Stress-Strain Diagram



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http://www.benbest.com/cryonics/lessons.html

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### **Materials Selection Charts**



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http://www-g.eng.cam.ac.uk/125/now/mfs/tutorial/non\_IE/charts.html

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## Failure Criteria – Crack Propagation

 $K_{Ic} = Y_1 \sigma^* \sqrt{\pi c} \text{ or } K_{Ic} = Y_2 \frac{F^*}{hw} \sqrt{\pi c}$ 

Resistance to crack propagation

- -Y<sub>1</sub>, Y<sub>2</sub> are geometric factors near 1
- $-\sigma^*$ , F<sup>\*</sup> are critical stress and force, respectively

Source: inventor.grantadesign.com



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# Fracture Toughness – Real Data from Alcator C-Mod



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Engineering Materials Science, Milton Ohring, Ch. 10



Defects, Slide 77

# Failure Criteria – Fatigue



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Source: www.sv.vt.edu/classes/MSE2094\_NoteBook/97ClassProj/anal/kelly/fatigue.html

- Repeated application of stress can cause cracks to grow
- Induced by vibrations, mechanical loading
- Telltale "fatigue striations"
- Where do these come from?

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# Failure Criteria – Fatigue



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- Stress (S) vs.
  number of cycles
  (N)
- Lower limit of stress(where N is infinite)is the "safe zone"
- Why do these limits exist?

Source: www.nde-ed.org/EducationResources/CommunityCollege/Materials/Mechanical/S-NFatigue.htm

### Fatigue Striations in Alcator C-Mod Rotor





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# Failure Mechanisms in Tension



- 1. Brittle fracture
- 2. Single crystal slip bands
- 3. Ideal ductile fracture (full elongation)
- 4. Realistic cup-and-cone fracture

Stages of cup-and-cone fracture formation in ductile materials

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### Examples of Cup-and-Cone Fracture in Alcator C-Mod





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### Brittle & Ductile Fracture, Side by Side



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### Creep – Plastic Deformation Below Yield Stress

• Imagine stretching a bar of metal within the elastic



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http://www.nationalboard.org/Index.aspx?pageID=181

Defects, Slide 84

## Creep – Stress vs. Time

### J. M. Gere, "Mechanics of Materials," pp. 22

- Stress increased elastically to σ<sub>0</sub>
- Held for long time
- Stress at constant strain decreases due to *creep*



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### **Creep Mechanisms**



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Source: Wikimedia Commons

- Plastic flow under constant stress
- Tension, gravity...
- Happens well below yield stress
- Multiple modes (Coble, Nabarro-Herring...)

## **Creep Mechanisms**

- Dislocation climb
  - Follows power law

- Nabarro-Herring (diffusional)
  - Vacancy movement

- Coble
  - Grain boundary movement



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### Failure Criterion – Creep Lifetime



Courtesy of Elsevier, Inc., http://www.sciencedirect.com. Used with permission. Source: Klueh, R. L., and A. T. Nelson. "Ferritic / Martensitic Steels for Next-generation Reactors." *Journal of Nuclear Materials* 371, no. 1-3 (2007): 37-52.

Source: R.L. Klueh, A.T. Nelson. J. Nucl. Mater., 371(1-3):37-52 (2007).

- Creep rupture lifetime can limit usefulness of part
- Example: AlloysHT9, T91 in hightemperature serviceconditions

### **Creep Failure by Time at Temperature and Pressure**



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Creep failure of alloy T91 due to improper heat treatment, heated above A1 temperature. In T. Totenmeier, "Experience with Grade 91 Steel in the Fossil Power Industry." Presentation, ALSTOM, Feb. 2009. MIT OpenCourseWare http://ocw.mit.edu

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