A Survey of Crystalline Defects

Outline – Defects

- 0D Defects
	- Vacancies & Interstitials
- 1D Defects (Dislocations)
- 2D Defects
	- Grain & twin boundaries
- 3D Defects
	- Coherent vs. incoherent © source unknown. All rights reserved. This content is inclusions, precipitates

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Single crystal of MnS, space group Fm 3� m, FCC crystal structure

Crystalline Solids

http://www.webelements.com/calcium/crystal_structure.html

• Periodic, long-range ordered structures

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> Face centered cubic calcium crystal structure

Single crystals of calcium metal under kerosene

Form Follows Structure

Image courtesy of [Materialscientist](https://en.wikipedia.org/wiki/Pyrite#/media/File:FeS2structure.png) on Wikimedia.

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http://www.zkg.de/en/artikel/bildpopup_en_1698578.html?image=5

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Gold (Au), face centered.

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Pyrite (FeS₂), simple cubic (Au), race centered
cubic (FCC) **Gypsum, monoclinic**
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Wikimedia Commons *(news 2007 v2 php* symmetry/monoclin htm **Wikimedia Commons [/news_2007_v2.php](http://www.palaminerals.com/news_2007_v2.php) [symmetry/monoclin.htm](http://www.galleries.com/minerals/symmetry/monoclin.htm)**

Grain vs. Crystal Structure

• Why do grains look more spherical, when crystal

structures are cubic?

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[https://www.nde](https://www.nde-ed.org/EducationResources/CommunityCollege/Materials/Graphics/CrystalStructure/BCC.jpg)[ed.org/EducationResources/CommunityCollege/](https://www.nde-ed.org/EducationResources/CommunityCollege/Materials/Graphics/CrystalStructure/BCC.jpg) [Materials/Graphics/CrystalStructure/BCC.jpg](https://www.nde-ed.org/EducationResources/CommunityCollege/Materials/Graphics/CrystalStructure/BCC.jpg) Body centered cubic (BCC) iron crystal structure (left), micrograph of Fe-12Cr-2Si (right)

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Grain vs. Crystal Structure

- Wulff crystals describe *lowest energy* surfaces
- Exposing *close packed planes* lowers surface energy

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<http://www.ctcms.nist.gov/wulffman/examples.html>

Grain vs. Crystal Structure

• We see 2D slices of Wulff crystals as grains!

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Point Defects (0D) – Vacancies Was, p. 163

[Was, Gary S. *Fundamentals of Radiation Materials Science,* p. 163. ISBN: 9783540494713.] removed due to copyright restrictions.

Point Defects (0D) – Multiple Vacancies Was, p. 163

[Was, Gary S. *Fundamentals of Radiation Materials Science,* p. 163. ISBN: 9783540494713.] removed due to copyright restrictions.

Point Defects (0D) – Interstitials Was, p. 157

• Extra atoms shoved into the crystal lattice

Point Defects (0D) – Split Interstitials Was, p. 159

-
- Dumbbells are often lower energy configurations
- Also much easier to diffuse
	- One interstitial can "knock" the other in their common direction
	- Lower distance to movement

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Was, p. 160

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• How much energy to make a vacancy?

- How much energy to make a vacancy?
- Fe-Fe bond dissociation energy:

$$
118 \frac{kJ}{mol} = 1.22 eV [1]
$$

- Fe-Fe cluster calculations give 0.64eV [2]
- $Z=8$ in BCC Fe: $5.12 9.76eV$

[1] Y-R Luo. "Bond Dissociation Energies." CRC Handbook (2009) [2] T. Nakazawa, T. Igarashi, T. Tsuru, Y. Kaji, *Comp. Mater. Sci.*, 46(2):367-375 (2009)

- Z=8 in BCC Fe: 5.12 [2] $- 9.76$ [1] eV
- Molecular dynamics (MD) calculations [3] show: $E_{Vacancy} = 1.83 eV$
- Difference due to crystal relaxation

[1] Y-R Luo. "Bond Dissociation Energies." CRC Handbook (2009) [2] T. Nakazawa, T. Igarashi, T. Tsuru, Y. Kaji, *Comp. Mater. Sci.*, 46(2):367-375 (2009) [3] B. D. Wirth et al. *J. Nucl. Mater.*, 244:185:194 (1997)

Let other atoms

relax inward (**-**)

• Which interstitial is most stable?

Relaxed structure and formation properties of point-defects in α -iron $^{\mathrm{a}}$

Courtesy of Elsevier, Inc., [http://www.sciencedirect.com.](http://www.sciencedirect.com) Used with permission. Source: Wirth, B. D., et al. "[Energetics of Formation and Migration of Self-interstitials](http://dx.doi.org/10.1016/S0022-3115(96)00736-2) [and Self-interstitial Clusters in α-iron.](http://dx.doi.org/10.1016/S0022-3115(96)00736-2)" *Journal of Nuclear Materials* 244, no. 3 (1997): 185-94.

B. D. Wirth et al. *J. Nucl. Mater.*, 244:185:194 (1997)

• Which interstitial is most stable?

Relaxed structure and formation properties of point-defects in α -iron $^{\mathrm{a}}$

Courtesy of Elsevier, Inc., [http://www.sciencedirect.com.](http://www.sciencedirect.com) Used with permission. Source: Wirth, B. D., et al. "[Energetics of Formation and Migration of Self-interstitials](http://dx.doi.org/10.1016/S0022-3115(96)00736-2) [and Self-interstitial Clusters in α-iron](http://dx.doi.org/10.1016/S0022-3115(96)00736-2)." *Journal of Nuclear Materials* 244, no. 3 (1997): 185-94.

• Does it matter? B. D. Wirth et al. *J. Nucl. Mater.*, 244:185:194 (1997)

Direct Measurement of C_{1V}^{eq} eq

Mehrer, p. 78

- Positron annihilation spectroscopy (PAS)
	- Shoot positrons into material, they annihilate very quickly with local electrons
	- Positrons can bind to vacancy, which has a reduced electron cloud
	-

Mean positron lifetime in aluminum

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Direct Measurement of C_{1V}^{eq} eq

A. Khellaf et al., Mater. Trans. 43(2):186 (2002)

- Quenching resistance measurements
	- Heat material to high temperature, quench, measure resistivity
	- Resistivity directly proportional to vacancy concentration
	- Measured at liquid-He

temperature

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Dislocations (1D)

- Extra half-plane of atoms shoved into the lattice
- Two types: **Edge** & Screw

[Fig. 7.2 in p. 268 from Was, Gary S. *Fundamentals of Radiation Materials Science*, ISBN: 9783540494713] removed due to copyright restrictions.

Dislocations (1D)

- Extra half-plane of atoms shoved into the lattice
- Two types: Edge & **Screw**

[Fig. 7.3 in p. 268 from Was, Gary S. *Fundamentals of Radiation Materials Science*, ISBN: 9783540494713] removed due to copyright restrictions.

Edge vs. Screw Dislocations

Passchier and Trouw, "Microtectonics," p. 33 (2005)

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Edge vs. Screw Dislocations

Passchier and Trouw, "Microtectonics," p. 33 (2005)

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The Burgers Vector

Was, p. 275

- Start at one atom, make Starting End
Point Point a circle around the dislocation core
- The *Burgers Vector* is the direction you move to reach your starting point
- Example: Edge disloc.

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Dislocation Glide

Was, p. 272

• Movement one plane at a time along the slip direction

> [Fig. 7.8 in p. 272 from Was, Gary S. *Fundamentals of Radiation Materials Science*, ISBN: 9783540494713] removed due to copyright restrictions.

Edge Dislocation Glide

A video is played in class to demonstrate the concept.

<http://youtu.be/kk2oOxSDQ7U>

Dislocation Glide

• Movement one plane at a time along the slip direction

> [Fig. 7.9 from Was, Gary S. *Fundamentals of Radiation Materials Science.* ISBN: 9783540494713] removed due to copyright restrictions.

Dislocation Climb

Was, p. 273

- Vacancy diffusion to dislocation core
	- Vacancies are attracted to the compressive stress at core

[Fig. 7.12 in p. 273 from Was, Gary S. *Fundamentals of Radiation Materials Science*, ISBN: 9783540494713] removed due to copyright restrictions.

Dislocation Kinks, Jogs

Allen, "Kinetics of Materials," p. 116

- Dislocations preferentially move on slip systems
	- Certain directions of easier movement
	- Close packed planes slip in close packed directions

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Dislocation Motion

Was, p. 277

[Fig. 7.18 in p. 277 from Was, Gary S. *Fundamentals of Radiation Materials Science*, ISBN: 9783540494713] removed due to copyright restrictions.

Glissile vs. Sessile Sections

Allen, "Kinetics of Materials," p. 124

Two edge dislocations moving towards each other form a *sessile* jog

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Dislocation Loops

- Loops have mixed edge/screw character
	- May be circular planes of atoms between two planes

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Dislocation Loop Sources

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Dislocation Videos!

<http://www.numodis.fr/tridis/TEM/mechanisms/multiplication.html>

Dislocation sources in Mo-5Nb A video is played in class to demonstrate the concept.

Dislocation Videos!

<http://www.numodis.fr/tridis/TEM/mechanisms/multiplication.html>

A Frank-Read Source in Silicon A video is played in class to demonstrate the concept.

Dislocation Videos!

<http://www.numodis.fr/tridis/TEM/mechanisms/multiplication.html>

Dislocation source in Ge at high temperature A video is played in class to demonstrate the concept.
Dislocation Videos!

<http://www.numodis.fr/tridis/TEM/mechanisms/multiplication.html>

Dislocation sources and pileup in Ge A video is played in class to demonstrate the concept.

Dislocation Videos!

<http://www.numodis.fr/tridis/TEM/mechanisms/multiplication.html>

Forces Between Edge Dislocations Was, p. 289-290

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All Together: Loops, Movement, Pileup

Dislocations moving & piling up in Inconel 617 (Ni-based alloy) under *insitu* straining in the TEM

<http://youtu.be/r-geDwE8Z5Y>

A video is played in class to demonstrate the concept.

 $\boxed{\blacktriangleright}$

Grain Boundaries (2D)

<http://www-hrem.msm.cam.ac.uk/gallery/>

- Regions of different orientation
	- May also be different crystal structure

TEM image of a grain boundary in pure Al

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GBs can be Lines of Dislocations

http://www.tf.uni-kiel.de/matwis/amat/def_en/kap_7/backbone/r7_2_1.html

This image is in the public domain. **<http://moisespinedacaf.blogspot.com/>**

22.14: Nuclear Materials Defects, Slide 42

Tilt grain boundary in Al

Twinning

• Alternate plastic deformation mechanism

Courtesy of Dynamic Characterization Group, property of Drexel University. Used with permission.

Twinning Differently oriented dislocations
inside/outside twin boundary! inside/outside twin boundary!

Courtesy of Dynamic Characterization Group, property of Drexel University. Used with permission.

Inclusions (3D)

- Other phases trapped within base material
- Examples:
	- Secondary particle precipitates in Zircaloys
	- Carbides in steels
	- Y_2O_3 particles in Oxide (ODS) steels

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 $Fm\overline{3}m$, FCC crystal structure Single crystal of MnS, space group embedded in Alcator rotor steel

Coherent vs. Incoherent

• Which do you think would be better at sinking defects? Stopping dislocations?

Incoherent inclusion Coherent inclusion

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Switching Gears: Structural Material Properties

- Goals:
	- Understand true vs. engineering stress & strain
	- Quantify and differentiate between hardness, toughness, strength, ductility, stiffness
	- Know how to measure these properties
	- Resolve stresses onto slip systems
	- Predict the differences in mechanical response between single, dual, and polycrystalline materials

Images from now on are from T. H. Courtney, *Mechanical Behavior of Materials* unless otherwise noted

• Consider a single crystal bar of FCC material, tensioned in the [001] direction:

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<http://www.doitpoms.ac.uk/tlplib/slip/printall.php>

• Project force onto the tilted plane containing the slip system, to get the stress

$$
\sigma_{slip} = \frac{F}{A_{slip}} = \frac{F}{\frac{A_0}{\cos \theta}} = \sigma \cos \theta_{\text{supp}}
$$

• Also project shear movement in direction of slip

$$
\tau = \sigma \cos \lambda
$$

Courtesy of University of Cambridge. Used with permission.

• The total shear stress becomes:

$$
\tau = \sigma \cos \lambda \cos \theta = \frac{\sigma}{m}
$$

$$
m = \frac{1}{\cos \lambda \cos \theta}
$$
 Schmidt factor

• Effectively reduce s a pp lies stress felt on a slip plane

• Consider a single crystal bar of FCC material, tensioned in the [001] direction:

Examples of Shear & Slip

• Alcator C-Mod rotor steel in uniaxial tension:

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<http://www.doitpoms.ac.uk/tlplib/slip/printall.php>

Courtesy of University of Cambridge. Used with permission.

http://www.doitpoms.ac.uk/tlplib/miller_indices/printall.php

Courtesy of University of Cambridge. Used with permission.

A scanning electron micrograph of a single crystal of cadmium deforming by dislocation slip on 100 planes, forming steps on the surface

N. Friedman et al. Phys. Rev. Lett. 109, 095507 (2012)

- Nanopillar compression tests using a diamond flat punch
- Clear 45 degree angles observed
	- Slip systems activated by *shear*

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S. Brinckmann et al. Phys. Rev. Lett. 100, 155502 (2008)

- Nanopillar compression tests using a diamond flat punch
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• Consider a single crystal bar of SC material, tensioned in the [001] direction:

• Consider a single crystal bar of SC material, tensioned in the [001] direction:

• Consider a single crystal bar of SC material, tensioned in the [011] direction: А., tomarco sinal and distribution Slip direction How much shear does the slip system

Will It Slip or Break?

• Balance between two mechanisms:

Critical Resolved Shear Stress (*τ***_{CRSS})**

- Shear stress that is enough to get dislocations moving (plastic deformation)
- Related to the *yield stress* (σ ^{*y*}), the stress where plastic deformation starts:

 $\sigma_{\rm y}=m\tau_{CRSS}$

• NOTE: σ_y has crystallographic dependence in single crystals! What about polycrystals?

τCRSS vs. Temperature

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What Happens When Dislocations Get Stuck?

• Cross slip: switching to other slip planes

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• Resolved shear stress must be high enough!

Stress vs. Strain

- Stress: Force over area
- Engineering stress: Force divided by *original* area
- True str ess: Force divided by *actual* area as it *c hange s*

$$
\sigma = \frac{F}{A_0} \qquad \sigma_t = \frac{F}{A(t)} = \frac{F}{A_0} \frac{A_0}{A(t)} = \sigma \frac{A_0}{A(t)}
$$

• Conserve volume during stretching: $V_0 = V(t)$

Stress vs. Strain

$$
\sigma = \frac{F}{A_0} \qquad \sigma_t = \frac{F}{A(t)} = \frac{F}{A_0} \frac{A_0}{A(t)} = \sigma \frac{A_0}{A(t)}
$$

• Conserve volume during stretching: $V_0 = V(t)$

$$
V_0 = A_0 L_0 = V(t) = A(t)L(t); \quad \frac{A(t)}{A_0} = \frac{L_0}{L(t)}
$$

$$
\sigma_t = \sigma \frac{A_0}{A(t)} = \sigma \frac{L(T)}{L_0} = \sigma \frac{L_0 + \delta L}{L_0} = \sigma \left(1 + \frac{\delta L}{L_0}\right)
$$

Engineering strain (ε)

True vs. Engineering Strain

- Engineering strain (ε): $\frac{\delta L}{L_0}$ (from *original* length)
- True strain (ε_{I}) : Instantaneous increase in length:

$$
\varepsilon_T = \int_{L_0}^{L(t)} \frac{dL}{L} = \ln L(t) - \ln L_0 = \ln \left[\frac{L(t)}{L_0} \right]
$$

$$
\varepsilon_T = \ln \left[\frac{L_0 + \delta L}{L_0} \right] = \ln[1 + \varepsilon]
$$

Stress-Strain Curves

<http://keytometals.com/page.aspx?ID=CheckArticle&site=kts&NM=42>

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Single, Dual, and Polycrystals

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Measuring Stress-Strain

J. M. Gere, "Mechanics of Materials," pp. 12, 14

Uniaxial tensile tester, with Uniaxial compression tester, with extensometer for measuring strain extensometer and diameter measurement

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Dislocations and Defects

E. Bitzek and P. Gumbsch, Dynamic aspects of dislocation motion: atomistic **simulations, Materials Science and Engineering A, 400-401 (2005), pp. 40-44**

• Defects can slow down (pin) dislocations

A video is played in class to demonstrate the concept.

 $\boxed{\blacktriangleright}$

Reviewing Material Properties

- Find the following on a stress-strain diagram:
- Toughness
- Strength
- Ductility
- Stiffness
- Perhaps define them first...

Young's Modulus (Stiffness, E)

Measures elastic deformation vs. stress

Source: Wikimedia Commons

Image courtesy of BenBritton on Wikimedia. License: CC-BY-SA. This content is excluded from our Creative Commons license. For more information, see http://ocw.mit.edu/help/faq-fair-use/.
Toughness (G_c)

• Measures the energy it takes to separate a Remote stress of material

$$
\rm K_{Ic}=\sqrt{EG_c}
$$

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Material Properties on Stress-Strain Diagram

Courtesy of Ben Best. Used with permission.

<http://www.benbest.com/cryonics/lessons.html>

Materials Selection Charts

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http://www-g.eng.cam.ac.uk/125/now/mfs/tutorial/non_IE/charts.html

Failure Criteria – Crack Propagation

 $K_{Ic} = Y_1 \sigma^* \sqrt{\pi c}$ or $K_{Ic} = Y_2 \frac{F^*}{bw} \sqrt{\pi c}$

Resistance to crack propagation

- $-Y_1$, Y_2 are geometric factors near 1
- $-\sigma^*$, F^* are critical stress and force, respectively

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Fracture Toughness – Real Data from Alcator C-Mod

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Engineering Materials Science, *Milton Ohring* , Ch. 10

Failure Criteria – Fatigue

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Source: www.sv.vt.edu/classes/MSE2094_NoteBook/97ClassProj/anal/kelly/fatigue.html **come from?**

- Repeated application of stress can cause cracks to grow
- Induced by vibrations, mechanical loading
- Telltale "fatigue striations"
-

Failure Criteria – Fatigue

- Stress (S) vs. number of cycles (N)
- Lower limit of stress (where N is infinite) is the "safe zone"
- **Why do these**

Source:<www.nde-ed.org/EducationResources/CommunityCollege/Materials/Mechanical/S-NFatigue.htm>

Fatigue Striations in Alcator C-Mod Rotor

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Failure Mechanisms in Tension

- **1.1. 1. 1. Brittle fracture**
- **2.2. 2. 2. Single crystal slip bands**
- **3.3. 3. 3. Ideal ductile fracture (full elongation)**
- **4. 4. Realistic cup-and-cone fracture**

Stages of cup-and-cone fracture formation in ductile materials

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22.14: Nuclear Materials Engineering Materials Science, *Milton Ohring* , Ch. 10 Defects, Slide 81

Examples of Cup-and-Cone Fracture in Alcator C-Mod

Brittle & Ductile Fracture, Side by Side

Creep – Plastic Deformation Below Yield Stress

• Imagine stretching a bar of metal within the elastic

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<http://www.nationalboard.org/Index.aspx?pageID=181>

Creep – Stress vs. Time

J. M. Gere, "Mechanics of Materials," pp. 22

- Stress increased elastically to σ_0
- Held for long time
- Stress at constant strain decreases due to *creep*

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Creep Mechanisms

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Source: Wikimedia Commons

- Plastic flow under constant stress
- Tension, gravity...
- Happens well below yield stress
- Multiple modes (Coble, Nabarro-Herring...)

Creep Mechanisms

- Dislocation climb
	- Follows power law

- Nabarro-Herring (diffusional)
	- Vacancy movement

- Coble
	- Grain boundary movement

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Engineering Materials Science, *Milton Ohring* , Ch. 10

Failure Criterion – Creep Lifetime

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Source: R.L. Klueh, A.T. Nelson. *J. Nucl. Mater.*, 371(1-3):37-52 (2007).

Creep rupture lifetime can limit usefulness of part

 Example: Alloys HT9, T91 in high temperature service conditions

Creep Failure by Time at Temperature and Pressure

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> **Creep failure of alloy T91 due to improper heat treatment, heated above A1 temperature. In T. Totenmeier, "Experience with Grade 91 Steel in the Fossil Power Industry." Presentation, ALSTOM, Feb. 2009.**

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